

11/21/97

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**U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICE**

**PATENT APPLICATION  
TRANSMITTAL LETTER**

ATTORNEY DOCKET NO.:  
2656/2

Address to:  
Assistant Commissioner for Patents  
Washington D.C. 20231  
Box Patent Application

Transmitted herewith for filing is the patent application of

Inventor(s): **Neil Frederick BRANDER and Andrew John ZELENKA**

For : **ENHANCED MATCHING APPARATUS AND METHOD FOR POST-TRADE PROCESSING AND SETTLEMENT OF SECURITIES TRANSACTIONS**

Enclosed are:

1. **34** sheets of specification, **15** sheets of claims, and **1** sheet of abstract.
2. **6** sheets of drawings.
3. Declaration.
4. Appointment of Power of Attorney by Assignee of Entire Interest.
5. Assignment from the Inventors to **The Depository Trust Company**.
6. The filing fee has been calculated as shown below:

	NUMBER FILED	NUMBER EXTRA*	RATE (\$)	FEE (\$)
BASIC FEE				790.00
TOTAL CLAIMS	32 - 20 =	12	22.00	264.00
INDEPENDENT CLAIMS	9 - 3 =	6	82.00	492.00
MULTIPLE DEPENDENT CLAIM PRESENT			270.00	
*Number extra must be zero or larger			TOTAL	1,546.00
If applicant is a small entity under 37 C.F.R. §§ 1.9 and 1.27, then divide total fee by 2, and enter amount here.			SMALL ENTITY TOTAL	0

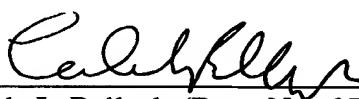
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7. Please charge the required application filing fee of \$1,546.00 to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**.
8. The Commissioner is hereby authorized to charge payment of the following fees, associated with this communication or arising during the pendency of this application, or credit any overpayment to the deposit account of **Kenyon & Kenyon**, deposit account number **11-0600**:
  - A. Any additional filing fees required under 37 C.F.R. § 1.16;
  - B. Any additional patent application processing fees under 37 C.F.R. § 1.17;
  - C. Any additional patent issue fees under 37 C.F.R. § 1.18;
  - D. Any additional document supply fees under 37 C.F.R. § 1.19;
  - E. Any additional post-patent processing fees under 37 C.F.R. § 1.20; or
  - F. Any additional miscellaneous fees under 37 C.F.R. § 1.21.
9. A duplicate copy of this sheet is enclosed.

Dated: 21 November 1997

By:

  
\_\_\_\_\_  
Caleb J. Pollack (Reg. No. 37,912)

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TYPE OF DOCUMENT PATENT APPLICATION OF BRANDER, Neil Frederick et al.

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